



PATENT

Appl'n No. 09/038,158

Attorney Docket No. 10392-46001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#16/a
D. Cottrell
7/6/01

Applicant(s) : Steven M. H. WALLMAN
Appl'n No. : 09/038,158
Attorney Docket No. : 10392-46001
Filed : 11 March 1998
For : Method and Apparatus for Enabling Smaller Investors or
Others to Create and Manage a Portfolio
Art Unit : 2164
Examiner : J. Calve

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Technology Center 2100

Commissioner for Patents
Washington, D.C. 20231

RESPONSE TO OFFICE ACTION

SIR:

In response to the Office Action mailed on January 31, 2001, the period for response
having been extended by two months to July 2, 2001 by payment of the requisite extension
fee under 37 C.F.R. §1.136(a), the following amendments and remarks are respectfully
submitted:

07/09/2001 SCOTTON 00000002 110600 09038158

01 FC:203 9.00 CH

02 FC:202 40.00 CH

07/09/2001 SCOTTON 00000001 09038158

01 FC:216 195.00 CH